## **Ombudsman Complaint Procedure**

The Local Government Ombudsmen (LGO) is an independent, impartial and free service, which investigates complaints about councils and certain other bodies. They investigate complaints about most council matters including housing, planning, education and social services and about how the council has done something. But they cannot question what a council has done simply because someone does not agree with it.

From 1<sup>st</sup> April 2009, the LGO has new arrangements for dealing with complaints. This new procedure, called "*Council First*" requires all complainants to go through all the stages of their council's own complaints procedure before the Ombudsman will consider the complaint. However it is recognised that there will be some complaints where, for one reason or another, it would be inappropriate for this requirement to apply. Following consultation, the LGO decided that the following complaints will normally be treated as *exceptions* to the general requirement:

## Complaints where the subject means the matter is clearly urgent

The LGO believes there are some complaints, which require priority consideration because time is a key factor in the injustice claimed. These are:

- Complaints about education (except transport) school admission complaints are already treated as a priority
- Complaints about homelessness where the complainant is currently or will imminently be homeless

Complaints where the LGO or the council decide that completing the council's own procedure would be to the detriment of the complainant

## These are:

- Complaints where there has been unreasonable delay by the council in processing a complaint – the LGO believes that 12 weeks is generally sufficient time to conclude an investigation but what is unreasonable will depend upon the particular circumstances of the complaint
- Complaints where the council's Chief Executive has exercised discretion not to investigate further the LGO will check with the council if this is unclear
- Complaints where the LGO has exercised discretion to accept a complaint because he/she
  has good reason to believe the council would not handle it effectively this would only
  happen after the LGO had discussed the matter with the council concerned.
- Complaints against more than one body to avoid the possibility of different time scales for different procedures, the LGO will accept a complaint, which is made against two authorities within the jurisdiction of the LGO or within the jurisdiction of the LGO and Parliamentary and Health Service Ombudsman.

## Complaints where the complainant's circumstances indicate a need for priority

Complaints made by children and young people – up to the age of 21 or 25 if disabled

 Complaints where referral to the council would disadvantage an already disadvantaged complainant – where the particular circumstances indicate vulnerability and/or the need for urgency; the LGO will always provide reasons

It is already normal practice for us to require the completion of our complaints procedures and some complaints, most notably about school admissions and homelessness, have always been given priority.

This is the procedure that the Ombudsman adheres to:

- On receipt of a complaint to the Ombudsman, a letter will be sent to the Authority advising us of the complaint with a 28-day response target.
- If no response is provided to the Ombudsman within this target, they will
  contact the our Link Officer (who is our Head of Legal) who will then
  contact the relevant Head of Service for a progress report on the
  complaint.
- If there is still no response at this stage it will be filed for a further 14 days.
- If the Ombudsman has still not received a response after 14 days, a letter will be written to the Chief Executive. This will advise the Chief Executive that the Head of Service and Case Officer for the complaint will be required to report with all files relevant to the case for an interview at the Ombudsman's offices in York.

To make a complaint or for advice on making a complaint to the LGO, you can contact the Advice Team –which is available from Monday to Friday from 8.30am to 5.00pm

Tel: 0845 602 1983 or 0300 061 0614 (calls may be recorded for training and quality

purposes)

Fax: 024 7682 0001

Text: "Call Back" to 0762 480 4299

Send to: The Local Government Ombudsman

PO Box 4771 Coventry CV4 0EH

Email: advice@lgo.org.uk